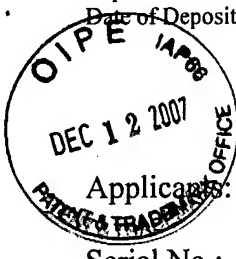


Date of Deposit: December 12, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kaplan, et al.

Examiner: Cheung, Mary Da Zhi Wang

Serial No.: 10/043,899

Group Art Unit: 3694

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Title: **ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL
PRODUCTS OVER A COMPUTER NETWORK**

MAIL STOP AMENDMENT

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT
CORRECTED RESPONSE TO RESTRICTION/ELECTION REQUIREMENT
DATED MARCH 7, 2007

Sir:

In response to the Notice of Non-Compliant Amendment dated November 16, 2007, Applicants hereby submits the following corrected Response to Restriction/Election Requirement which addresses the issue raised in the Notice. This Response is due on or before December 16, 2007 with no extensions.

The **Remarks** begins on page 2 of this paper.

The **Conclusion** begins on page 3 of this paper.